This design guide illustrates the Department's recommended procedures for analyzing the liquefaction potential of soil during a seismic event considering Article 10.5.4.2 of the 2009 Interim Revisions for the AASHTO LRFD Bridge Design Specifications and various research. The phenomenon of liquefaction and how it should be evaluated continues to be the subject of considerable study and debate. It is expected that enhancements will evolve and modify how liquefaction should be evaluated and accounted for in design. This design guide outlines the Department's current recommended procedure for identifying potentially liquefiable soils. Also included are recommendations for characterizing the properties and behavior of liquefiable soils so that substructure stiffness and embankment response to seismic loading can be modeled.

Liquefaction Description and Design

Saturated loose to medium dense cohesionless soils and low plasticity silts tend to densify and consolidate when subjected to cyclic shear deformations inherent with large seismic ground motions. Pore-water pressures within such layers increase as the soils are cyclically loaded, resulting in a decrease in vertical effective stress and shear strength. If the shear strength drops below the applied cyclic shear loadings, the layer is expected to transition to a semi fluid state until the excess pore-water pressure dissipates.

Embankments and foundations are particularly susceptible to damage, depending on the location and extent of the liquefied soil layers. Such soils may adequately carry everyday loadings, however once liquefied, retain insufficient capacity for such loads or additional seismic forces. Substructure foundations shall either be designed to withstand the liquefaction or ground improvement techniques shall be used to achieve the IDOT performance objectives of no loss of life or loss of span. End slopes and roadway embankments on liquefiable soils require an analysis to determine the likely extent of pavement/slope damage so that the cost of ground improvement techniques can be compared to alternatives such as re-routing traffic around the damaged lanes or quickly effecting emergency repairs.

The stiffness of liquefiable soils supporting foundations is anticipated to degrade over the duration of the seismic event and reduces the lateral stiffness of the substructure. The reduced stiffness results in increased deflection and moment arm, concern for buckling, and potentially additional

loading on adjacent substructures. The lateral stiffness, moments and forces carried by such foundations supported by liquefiable soils is best determined using programs such as COM624 or LPILE. The liquefied soil layers can be modeled in these programs with reduced strength parameters or the p-y curves can be modified to reflect the residual strength of the liquefied layers. Note that the estimated fixity depths indicated in Design Guide 3.15 (Seismic Design) should not be used for analyzing substructures with liquefiable soils.

Vertical ground settlement should be expected to occur following liquefaction. As such, spread footings should not be specified at sites expected to liquefy unless ground improvement techniques are employed to mitigate liquefaction. For driven pile and drilled shaft foundations, the vertical settlement will result in a loss of skin friction capacity and an added negative skin friction (NSF) downdrag load when the liquefiable layers are overlain by non-liquefiable soils. Geotechnical losses from liquefaction and any liquefaction induced NSF loadings shall only be considered with the Extreme Event I limit state group loading, since the strength limit state group loadings represent the conditions prior to, not after a seismic event.

Since liquefaction may or may not fully occur while the peak seismic bridge loadings are applied, structures at sites where liquefaction is anticipated must be analyzed and designed to resist the seismic loadings with nonliquefied conditions as well as a configuration that reflects the locations, extent and reduced strength of the liquefiable layers. However, the design spectra used for both configurations shall be the spectra determined for the nonliquefied configuration.

Embankments and bridge cones are susceptible to lateral movements in addition to vertical settlement during a seismic event. When the seismic slope stability factor of safety approaches 1.0, slope deformations become likely and when liquefaction is expected, these movements can be substantial. The ability of embankments and bridge cones to resist such failures when liquefiable soils are present should be investigated using the slope geometry and static stresses along with residual strength properties for the liquefied soils as described later in the design guide. A new AGMU Memo 10.3 (Slope Stability Design Criteria for Bridges and Roadways) is expected to be issued this year to provide further guidance on the seismic analysis of embankments.

Liquefaction Analysis Criteria

All sites located in Seismic Performance Zones (SPZ) 3 and 4 as well as sites located in SPZ 2 with a peak seismic ground surface acceleration, A_S (PGA modified by the zero-period site factor, F_{pga}),

equal to or greater than 0.15, require liquefaction analysis. The exception to this is when the all liquefaction susceptible soils at a site have corrected standard penetration test (SPT) blow counts $(N_1)_{60}$ above 25 blows/ft. or the anticipated groundwater is not within 50 ft of the ground surface. The groundwater elevation used in the analysis should be the seasonally averaged groundwater elevation for the site which may not be equal to that encountered during the soil boring drilling.

Low plasticity silts and clays may experience pore-water pressure increases, softening, and strength loss during earthquake shaking similar to cohesionless soils. Fine-grained soils with a plasticity index (PI) less than 12 and water content (w_c) to liquid limit (LL) ratio greater than 0.85 are considered potentially liquefiable and require liquefaction analysis. While PI is regularly investigated for pavement subgrades, it has rarely been considered in the past for structure soil borings. However, in order to investigate liquefaction susceptibility of fine-grained soils, the plasticity of such soils should be examined when conducting structure soil borings. Drillers should inspect and describe the plasticity of fine-grained soil samples. Low plasticity fine-grained soils, particularly loams and silty loams, should be retained for the Atterberg Limit testing with the results indicated on the soil boring log.

For typical projects, liquefaction analysis shall be limited to the upper 60 ft of the geotechnical profile measured from the existing or final ground surface (whichever is lower). This depth encompasses a significant number of past liquefaction observations used to develop the simplified liquefaction analysis procedure described below. If the liquefaction analysis indicates that the factor of safety (FS) against liquefaction is greater than or equal to 1.0, no further concern for liquefaction is necessary. However, if soil layers are present indicating a FS less than 1.0, the potential for these layers to liquefy and the effect on the slope or foundation but be further evaluated.

Liquefaction Analysis Procedure

The method described below is provided to assist Geotechnical Engineers in facilitating liquefaction analysis for typical or routine projects. For simplicity, numerical expressions or directions are provided for determining values of the variables necessary to conduct the liquefaction analysis for such projects. Non-linear site response analysis programs can be used to determine more exacting values for some of the variables, however this should only be considered necessary for large or unique projects where a more refined liquefaction analysis is desired.

The "Simplified Method" described by Youd et al. (2001) as well as refinements suggested by Cetin et al. (2004) shall be used to estimate liquefaction potential as contained herein. The simplified method compares the resistance of a soil layer against liquefaction (Cyclic Resistance Ratio, CRR) to the seismic demand on a soil layer (Cyclic Stress Ratio, CSR) to estimate the FS of a given soil layer against triggering liquefaction. The FS for each soil sample should be computed to allow thin, isolated layers to be discounted and the specific locations and extent of those determined liquefiable to be indicated in the SGR and accounted for in design.

An Excel spreadsheet that performs these calculations has been prepared to assist Geotechnical Engineers with conducting a liquefaction analysis and may be downloaded from IDOT's website.

$$FS = \frac{CRR}{CSR}$$

Where:

$$CRR = CRR_{7.5}K_{\sigma}K_{\alpha}MSF$$

$$CSR = 0.65A_{S} \left(\frac{\sigma_{vo}}{\sigma_{vo}^{'}} \right) r_{d}$$

CRR $_{7.5}$ = cyclic resistance ratio for magnitude 7.5 earthquake

$$=\frac{1}{34-\left(N_{1}\right)_{60cs}}+\frac{\left(N_{1}\right)_{60cs}}{135}+\frac{50}{\left[10\left(N_{1}\right)_{60cs}+45\right]^{2}}-\frac{1}{200}$$

 K_{σ} = overburden correction factor

$$= \left(\frac{\sigma_{\text{vo}}}{2.12}\right)^{(f-1)} \text{ and } 9^{(f-1)} \le K_{\sigma} \le 1.5$$

f = soil relative density factor

=
$$0.831 - \frac{(N_1)_{60cs}}{160}$$
 and $0.6 \le f \le 0.8$

 K_{α} = sloping ground correction factor

= 1.0 for generally level ground surfaces or slopes flatter than 6 degrees. See the following discussions for liquefaction evaluation of slopes and embankments.

MSF = magnitude scaling factor = $87.2(M_w)^{-2.215}$

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M_w = earthquake moment magnitude.

A_S = peak horizontal acceleration coefficient at the ground surface

 $= F_{pga} PGA$

 F_{pga} = site amplification factor for zero-period spectral acceleration (LRFD Article

3.10.3.2)

PGA = peak seismic ground acceleration on rock.

 σ_{vof} = total vertical soil pressure for final condition (ksf)

 σ'_{vof} = effective vertical soil pressure for final condition (ksf)

 σ_{vof} , σ_{vof} , and σ_{voi} may be calculated using the following correlations for estimating the unit weight of soil (kcf):

Above water table: $\gamma_{\text{granular}} = 0.095 N_{\text{m}}^{0.095}$

 $\gamma_{\text{cohesive}} = 0.1215 Q_{\text{u}}^{0.095}$

Below water table: $\gamma_{\text{granular}} = 0.105 \text{N}_{\text{m}}^{0.07} - 0.0624$

 $\gamma_{\text{cohesive}} = 0.1215 Q_u^{0.095} - 0.0624$

Fill soils being modeled for the final condition may be assumed to have unit weights of 0.120 kcf and 0.058 kcf above and below the water table.

r_d = soil shear mass participation factor

$$=\frac{\left[1+\frac{-23.013-2.949\text{A}_{\text{S}}+0.999\text{M}_{\text{w}}+0.016\text{V}_{\text{s},40'}^{\star}}{16.258+0.201e^{0.104\left(-d+0.0785\text{V}_{\text{s},40'}^{\star}+24.888\right)}}\right]}{\left[1+\frac{-23.013-2.949\text{A}_{\text{S}}+0.999\text{M}_{\text{w}}+0.016\text{V}_{\text{s},40'}^{\star}}{16.258+0.201e^{0.104\left(0.0785\text{V}_{\text{s},40'}^{\star}+24.888\right)}}\right]}\text{ for d < 65 ft}$$

$$=\frac{\left[1+\frac{-23.013-2.949 A_{S}+0.999 M_{w}+0.016 V_{s,40'}^{*}}{16.258+0.201 e^{0.104 \left(-65+0.0785 V_{s,40'}^{*}+24.888\right)}}\right]}{\left[1+\frac{-23.013-2.949 A_{S}+0.999 M_{w}+0.016 V_{s,40'}^{*}}{16.258+0.201 e^{0.104 \left(0.0785 V_{s,40'}^{*}+24.888\right)}}\right]}-0.0014 \left(d-65\right) \ for \ d \geq 65 \ ft$$

 $V_{s,40'}^{*}$ = average shear wave velocity within the top 40 ft of the finished grade (ft/sec).

$$= \frac{40}{\sum_{i=1}^{n} \frac{d_i}{v_{si}}}$$

 v_{si} = shear wave velocity of individual soil layer (ft/sec)

$$= 169N_{\rm m}^{0.516}$$

Fill soils may be assumed to have a shear wave velocity of 600 ft/sec.

d_i = thickness of individual soil layer (ft)

d = depth of soil sample below finished grade (ft)

 $(N_1)_{60cs} = (N_1)_{60}$ adjusted to an equivalent clean sand value (blows/ft)

$$= \alpha + \beta (N_1)_{60}$$

 α = clean sand adjustment factor coefficient

= 0 for FC
$$\leq$$
 5%

=
$$e^{\left(1.76 - \frac{190}{FC^2}\right)}$$
 for 5% < FC < 35%

= 5 for FC
$$\geq$$
 35%

 β = clean sand adjustment factor coefficient

= 1.0 for FC
$$\leq$$
 5%

=
$$0.99 + \frac{FC^{1.5}}{1000}$$
 for $5\% < FC < 35\%$

= 1.2 for FC
$$\geq$$
 35%

FC = % passing No. 200 sieve

 $(N_1)_{60}$ = corrected SPT blow count (blows/ft)

$$= N_m C_N C_E C_B C_R C_S$$

 N_{m} = field measured SPT blow count recorded on the boring logs (blows/ft)

 C_N = overburden correction factor

$$= \frac{2.2}{\left(1.2 + \frac{\sigma'_{\text{voi}}}{2.12}\right)} \le 1.7$$

 $\sigma_{\text{voi}}^{'}$ = effective vertical soil pressure during drilling (ksf)

C_E = hammer energy rating correction factor

=
$$\frac{ER}{60}$$
; ER = hammer efficiency rating (%)

C_B = borehole diameter correction factor

= 1.0 for boreholes approximately $2\frac{1}{2}$ to $4\frac{1}{2}$ inches in diameter

= 1.05 for boreholes approximately 6 inches in diameter

= 1.15 for boreholes approximately 8 inches in diameter

$$\begin{split} C_R &= \text{rod length correction factor} \\ &= (-2.1033 \times 10^{-11}) \ell^6 + (7.9025 \times 10^{-9}) \ell^5 - (1.2008 \times 10^{-6}) \ell^4 + (9.4538 \times 10^{-5}) \ell^3 \\ &- (4.0911 \times 10^{-3}) \ell^2 + (9.3996 \times 10^{-2}) \ell + 0.0615 \text{ and } 0.75 \leq C_R \leq 1.0 \end{split}$$

C_S = split-spoon sampler lining correction factor

= 1.0 for samplers with liners

= 1+
$$\frac{C_N N_m}{100}$$
 for samplers without liners where 1.1 \leq $C_S \leq$ 1.3

= hammer efficiency rating (%)
 Unless more exacting information is available, use 73% for automatic type hammers and 60% for conventional drop type hammers.

e drill rod length (ft) measured from the point of hammer impact to tip of sampler. may be estimated as the depth below the top of boring for the soil sample under consideration plus 5 ft to account for protrusion of the drill rod above the top of borehole.

For soils explorations conducted by IDOT, boreholes are typically advanced using hollow stem augers that are 8 inches in diameter or using wash boring methods with a cutting bit that results in approximately a 4½ inch diameter borehole. The diameter and methods of advancing the borehole can vary between Districts and Consultants performing soils explorations for IDOT. As such, it is recommended that the borehole diameter be included on the soil boring log in addition to the drilling procedure (hollow stem auger, mud rotary, etc.). Geotechnical engineers conducting a liquefaction analysis and calculating the borehole diameter correction factor (C_B) should inquire with the soils exploration provider if the borehole diameter is not provided.

SPT tests are generally conducted in accordance with AASHTO T 206 and the split-spoon samplers are designed to accept a metal or plastic liner for collecting and transporting soil samples to the laboratory. Omitting the liner provides an enlarged internal barrel diameter that reduces friction between the soil sample and interior of the sampler, resulting in a reduced SPT blow count. Past experience indicates that interior liners are seldom used and the AASHTO T 206 specification indicates that the use of liners is to be noted on the penetration record. Thus, it shall be assumed in the calculation of the split-spoon sampler lining correction factor (C_S) that liners were not used unless otherwise indicated the soil boring log.

The field measured SPT blow count values obtained in Illinois commonly use an automatic type hammer which typically offer hammer efficiency (ER) values greater than the standard 60% associated with drop type hammers. For soils exploration conducted with automatic type hammers, an ER of 73% may be assumed unless more exacting information is available.

Liquefaction resistance improves with increased fines content. As such, sieve analysis should be conducted for low plasticity fine-grained loams and silts below the anticipated groundwater elevation and within the upper 60 ft when the $(N_1)_{60}$ is less than or equal to 25 blows/ft to determine percent passing a No. 200 sieve (Fines Content, FC). These data should be included in the SGR and/or reported on the soil boring log.

M_w and PGA Values for Liquefaction Analysis

The spectral accelerations for the 0.0 second, 0.2 second and 1.0 second structure period are typically used by the structural engineer to conduct a pseudo-static seismic analysis and design of the bridge and foundation elements. These are commonly obtained from U.S. Geological Survey (USGS) maps which were developed using a probabilistic seismic hazard analysis (PSHA). PSHA estimates the likelihood that various seismic accelerations will be exceeded at a given site, over a future specific period of time, by analyzing various potential seismic sources, earthquake magnitudes, site to source distances, and estimated rates of occurrence. With this methodology, as the desired probability of exceedance is decreased (or design return period is increased), the corresponding spectral accelerations increase. The 0.0 second spectral acceleration is commonly considered as the PGA (hereafter referred to as the PSHA PGA) for the structure's design return period.

In addition to PGA, duration of shaking is a key factor in triggering liquefaction and is represented in the liquefaction analysis procedure by the earthquake Moment Magnitude (Mw). In the past, IDOT used the PSHA PGA with the Mean Earthquake Moment Magnitude ($\overline{^{M_W}}$) provided by the USGS for the site location and design return period. However, this PGA and Mw combination will not properly indentify a site's liquefaction potential for the design return period. Portions of Illinois considered multi-modal, meaning that there are multiple earthquake scenarios that have a significant contribution to the overall hazard, require liquefaction potential be checked for multiple PGA and Mw pairs to determine the controlling values. Multi-modal conditions are often characterized by a distant seismic source, capable of producing a large Mw with a smaller PGA,

and a near-site source capable of producing a smaller Mw with a larger PGA. The distant seismic source will almost always be the New Madrid seismic zone (NMSZ). The near-site source will typically be the "background seismicity" sources gridded by the USGS, although the Wabash Valley seismic zone (WVSZ) will control the near-site source for some sites in southeastern Illinois. Sites near the southern most portion of the state become less multi-modal and are solely controlled the NMSZ. The PGA and Mw values to be checked must be determined using the USGS 2008 PSHA deaggregation data, located at: http://geohazards.usgs.gov/deaggint/2008/, which summarizes the contribution of various earthquake scenarios to the hazard.

The distant seismic source (NMSZ) is typically represented by the Modal source-site distance (R^*) and magnitude (M_w^*) values provided at the base of the deaggregation, which reflect the largest contribution to the overall site hazard. The PGA to be used with this source must be calculated using the R^* , M_w^* and the ground motion prediction equations (GMPE's) used by the USGS for the NMSZ. The USGS uses a weighted average of 8 different ground GMPE's for the NMSZ, which due to their complexity, are not presented herein. They are provided in IDOT's Liquefaction Analysis Excel spreadsheet and used to compute the distant seismic source PGA with input of R^* , M_w^* , and selecting "NMSZ" for the proper ground motion prediction equations.

The R and M_w values representing the near-site sources can be identified by evaluating the "ALL_EPS" and source-site distance "DIST(KM)" columns of the deaggregation data. The ALL_EPS column indicates the percent contribution each earthquake scenario adds to the overall hazard. Scenarios contributing more than 5% to the hazard with a source-site distance not extending to the NMSZ should be selected as near-site sources to be investigated. The PGA to be used with each selected near-site R and M_w pair shall be calculated using the USGS ground motion prediction equations for the Central Eastern United States (CEUS). The USGS uses a weighted average of 7 different GMPE's to for the CEUS. These GMPE's are also programmed into the IDOT Liquefaction Analysis spreadsheet to provide near-site PGA values for each selected R, and M_w when the "CEUS" is input as the proper ground motion prediction equations.

Two examples for interpreting the deaggregation data and determining the PGA and M_w pairs to be used for the liquefaction analysis are included at the end of the design guide.

Liquefaction Analysis Procedure for Slopes and Embankments

The liquefaction resistance of dense granular materials under low confining stress (dilative soils) tends to increase with increased static shear stresses. Such static shear stresses are typically the result of ground surface inclinations associated with slopes and embankments. Conversely, the liquefaction resistance of loose soils under high confining stress (contractive soils) tends to decrease with increased static shear stresses. Such soils are susceptible to undrained strain softening. The effects of sloping ground and static shear stresses on the liquefaction resistance of soils is accounted for in the previously described Simplified Procedure by use of the sloping ground correction factor, K_{α} .

 K_{α} is a function of the static shear stress to effective overburden pressure ratio and relative density of the soil. Graphical curves have been published that correlate K_{α} with these variables (Harder and Boulanger 1997). With the exception of earth masses of a constant slope, the ratio of the static shear stress to effective overburden pressure will vary at different points under an embankment, and most slopes, making it difficult to determine an appropriate K_{α} . Researchers that developed the Simplified Procedure have indicated that there is a wide range of proposed K_{α} values indicating a lack of convergence and need for additional research. It is recommended that the graphical curves that have been published for establishing K_{α} not be used by nonspecialists in geotechnical earthquake engineering or in routine engineering practice.

Olson and Stark (2003) have presented an alternative approach for analyzing the effects of static shear stress due to sloping ground on the liquefaction resistance of soils. A detailed description of the method is not included herein and Geotechnical Engineers should obtain a copy of the reference document for further information.

The method provides a numerical relationship for determining whether soils are contractive or dilative. If soils are determined to be contractive, an additional analysis should be conducted to investigate the effects of static shear stress on the liquefaction resistance of soils. The additional analysis is an extension of a traditional slope stability analysis typically performed with commercial software, and can be readily facilitated with the use of a spreadsheet and data obtained from the slope stability software. If the additional analysis indicates soil layers with a FS < 1.0 against liquefaction, a post-liquefaction slope stability analysis should be conducted with residual shear strengths assigned to the soil layers expected to liquefy. While Olson and Stark (2003) present one

acceptable method for estimating the residual shear strength of liquefied soil layers, there are also a number of other methods presented in various reference documents concerning liquefaction.

The Department's Liquefaction Analysis spreadsheet that estimates liquefaction resistance of soil using the Simplified Method described above also estimates whether soils are contractive or dilative based upon the relationship provided by Olson and Stark (2003). As the classification of contractive or dilative soils is affected by overburden pressure, the presence of such soils should be assessed considering a soil column that starts at the top of the embankment/slope and another soil column that begins at the base of the embankment/slope.

Note that the method provided by Olson and Stark (2003) also includes an equation for estimating the seismic shear stress on a soil layer (Eq. 3a in the reference document). The variable $C_{\rm M}$ included in the referenced equation shall be replaced with the variable MSF and both variables MSF and $r_{\rm d}$ shall be calculated using the equations outlined above for the Simplified Method.

Examples for Determining M_w and PGA Values

The first of two examples is for a location near Grayville, Illinois and the corresponding deaggregation data, obtained from the USGS website, is provided in below in Figure 1. In this case, the five earthquake scenarios highlighted in the figures have an "ALL_EPS" contribution to the total hazard greater than 5%.

```
Deaggregation of Seismic Hazard at One Period of Spectral Accel. ***
   *** Data from U.S.G.S. National Seismic Hazards Mapping Project, 2008 version ***
  PSHA Deaggregation. %contributions. site: Grayville, IL long: 88.015 W., lat: 38.257 N.
    Vs30(m/s) = 760.0 CEUS atten. model site cl BC(firm) or A(hard).
  NSHMP 2007-08 See USGS OFR 2008-1128. dM=0.2 below
Return period: 975 yrs. Exceedance PGA =0.2147
                                                          g. Weight * Computed Rate Ex 0.104E-02
  #Pr[at least one eq with median motion>=PGA in 50 yrs]=0.02123
  #This deaggregation corresponds to Mean Hazard w/all GMPEs
  DIST(KM) MAG(MW) ALL_EPS EPSILON>2 1<EPS<2 0<EPS<1 -1<EPS<0 -2<EPS<-1 EPS<-2
                                                               0.139
                                                                         0.000
                                                                                   0.000
      11.6
               4.60
                       3.493
                                 0.343
                                           1.627
                                                     1.385
      28.5
               4.61
                       0.589
                                 0.466
                                           0.123
                                                     0.000
                                                               0.000
                                                                         0.000
                                                                                   0 000
                       7.215
1.674
                                 0.564
                                           3.247
                                                                         0.000
                                                                                   0.000
    12.1
              4.80
                                                     2.915
                                                               0.488
               4.81
                                 1.065
                                           0.609
                                                     0.000
                                                                         0.000
                                                               0.000
                                                                                   0.000
    12.6
               5.03
                       6.111
                                 0.365
                                           2.177
                                                     2.831
                                                               0.738
                                                                         0.000
                                                                                   0.000
               5.04
                       2.112
                                 0.981
                                           1.129
                                                     0.002
                                                               0.000
                                                                         0.000
              5.05
      55.8
                       0.086
                                 0.086
                                           0.000
                                                     0.000
                                                               0.000
                                                                         0.000
                                                                                   0.000
                                           0.780
0.731
      13.0
               5.21
                       2.627
                                 0.130
                                                     1.338
                                                               0.378
                                                                         0.001
                                                                                   0.000
      30.6
               5.21
                       1.205
                                 0.427
                                                     0.047
                                                               0.000
                                                                         0.000
                                                                                   0.000
      57.6
              5.21
                       0.081
                                 0.081
                                           0.000
                                                     0.000
                                                               0.000
                                                                         0.000
                                                                                   0.000
                                                               0.735
               5.39
                       4.419
                                 0.189
                                                     2.343
                                                                         0.022
                                                                                   0.000
      13.4
                                           1.130
      31.1
               5.40
                       2.638
                                 0.692
                                           1.677
                                                     0.269
                                                               0.000
                                                                         0.000
                                                                                   0.000
                       0.262
                                 0.262
                                           0.000
                                                     0.000
                                                                         0.000
      58.8
               5.40
                                                               0.000
                                                                                   0.000
      13.7
               5.61
                       2.434
                                 0.089
                                           0.532
                                                     1.315
                                                               0.473
                                                                         0.024
                                                                                   0.000
      31.9
              5.62
                       1.959
                                 0.336
                                           1.190
                                                     0.434
                                                               0.000
                                                                         0.000
                                                                                   0.000
                       0.288
                                                     0.000
                                                                         0.000
      59.7
              5.62
                                 0.267
                                           0.020
                                                               0.000
                                                                                   0.000
      13.9
                                 0.077
                                                                         0.042
                                                                                   0.000
              5.80
                       2.291
                                           0.459
                                                     1.152
                                                               0.562
                       2.234
      32.3
              5.81
                                 0.289
                                           1.267
                                                     0.678
                                                               0.000
                                                                         0.000
                                                                                   0.000
                       0.395
                                                                         0.000
      60.1
               5.81
                                 0.313
                                           0.082
                                                     0.000
                                                               0.000
      88.4
                       0.052
                                 0.052
                                           0.000
                                                     0.000
                                                               0.000
                                                                         0.000
                                                                                   0.000
               5.82
      12.9
               6.01
                       1.740
                                 0.050
                                           0.301
                                                     0.757
                                                               0.569
                                                                         0.063
                                                                                   0.000
      32.6
               6.01
                       2.166
                                 0.196
                                           1.036
                                                     0.911
                                                               0.023
                                                                         0.000
                                                                                   0.000
                                 0.252
      61.2
                       0.434
                                           0.182
                                                     0.000
                                                               0.000
                                                                         0.000
                                                                                   0.000
               6.01
              6.01
6.21
                       0.078
1 725
                                 0.078
                                           0.000
                                                                         0.000
                                                                                   0.000
      88.5
                                                     0.000
                                                               0.000
                                                     0 700
                                                                         0 080
                                                                                   0 000
   34.1
            7.39
                     0.999
                               0.031
                                         0.183
                                                   0.461
                                                             0.314
                                                                      0.010
                                                                                0.000
    59.4
            7.40
                     0.369
                               0.020
                                         0.120
                                                   0.222
                                                             0.007
                                                                      0.000
                                                                                0.000
    85.0
            7.38
                     0.168
                               0.017
                                         0.101
                                                   0.050
                                                             0.000
                                                                      0.000
                                                                                0.000
            7.39
                               0.027
                                                   0.004
                                                             0.000
                                                                      0.000
                                                                                0.000
                     0.144
                                         0.113
  155.1
            7.44
                     5.773
                               1.406
                                         3.581
                                                   0.786
                                                             0.000
                                                                      0.000
                                                                                0.000
                     0.060
    14.4
            7.59
                               0.001
                                         0.008
                                                   0.021
                                                             0.021
                                                                      0.008
                                                                                0.000
            7.59
                     0.158
                               0.005
                                         0.027
                                                             0.055
                                                                      0.003
                                                   0.068
                                                                                0.000
    34.3
                               0.003
                                                   0.038
                                                             0.004
                                                                      0.000
                                                                                0.000
    62.2
             7.59
                     0.062
                                         0.018
                                                   5.779
                                                             0.000
  155.1
                                         7.962
                                                                      0.000
                    15.821
7.989
                               2.080
            7.70
                                                                                0.000
  155 1
            8 00
                               0.623
                                         3.017
                                                   3.584
                                                             0.765
                                                                      0.000
                                                                                0.000
Summary statistics for above PSHA PGA deaggregation, R=distance, e=epsilon:
Contribution from this GMPE(%): 100.0
Mean src-site R= 64.9 km; M= 6.33; eps0= Modal src-site R= 155.1 km; M= 7.70 eps0=
                                                   0.12. Mean calculated for all sources.
                                                  0.67 from peak (R,M) bin
 MODE R*= 155.1km; M*= 7.70; EPS.INTERVAL: 1 to 2 sigma
                                                              % CONTRIB.=
Principal sources (faults, subduction, random seismicity having > 3% contribution)
Source Category:
                                     % contr.
                                               R(km)
                                                         M
                                                             epsilon0 (mean values).
New Madrid SZ no clustering
                                       29.53
                                               155.1
                                                        7.73
                                                                 0.63
                                                27.1
CEUS gridded
                                       70.47
                                                        5.75
                                                                -0.09
Individual fault hazard details if its contribution to mean hazard > 2%:
Fault ID
                                    % contr.
                                                Rcd(km) M
                                                               epsilon0 Site-to-src azimuth(d)
New Madrid FZ, midwest
                                       2.91
                                                155.9
                                                        7.73
                                                                 0.63
                                                                          -139.1
New Madrid FZ, central
                                       21.22
                                               153.6
                                                        7.73
                                                                 0.61
                                                                          -143.6
New Madrid FZ. mideast
                                                        7.73
                                       2.80
                                               158.3
                                                                 0.66
                                                                          -146.9
#*******End of deaggregation corresponding to Mean Hazard w/all GMPEs ********#
```

Figure 1. Grayville Deaggregation Data.

Three of the five sites have source-to-site distances indicative of the NMSZ and thus, the Modal source-site distance (R^*) and magnitude (M_w^*) values can be used to represent the distant seismic source. The remaining two earthquake scenarios are considered near-site sources which both requiring further investigation. The PGA for each of the three earthquake scenarios is then calculated using the indicated R and M_w values with selection of the proper GMPE model programmed in the IDOT Liquefaction Analysis spreadsheet.

- EQ Scenario #1, Dist. (R) = 155.1 km, $M_w = 7.70 \rightarrow PGA = 0.115$ (NMSZ Model)
- EQ Scenario #2, Dist. (R) = 12.1 km, $M_w = 4.80 \rightarrow PGA = 0.175$ (CEUS Model)
- EQ Scenario #3, Dist. (R) = 12.6 km, $M_w = 5.03 \rightarrow PGA = 0.209$ (CEUS Model)

In this instance, it is clear that EQ Scenario #3 will control over EQ Scenario #2 and as such, EQ Scenario #2 does not require further consideration for the liquefaction analysis. The PGA and $M_{\rm w}$ pairs for EQ Scenario's #1 and #3 serve as an example of the potential multi-modal nature of some locations.

There will be many instances where the deaggregation data indicates that there are no near-site sources that contribute at least 5% to the hazard that need to be considered for liquefaction analysis. In such cases, the hazard is likely dominated by the NMSZ and only the Modal combination needs to be considered.

The second example is for a location near Cairo, Illinois and the site deaggregation data is provided in below in Figure 2.

There are three highlighted earthquake scenarios where the "ALL_EPS" contribution is greater than 5%.

```
*** Deaggregation of Seismic Hazard at One Period of Spectral Accel. ***
 *** Data from U.S.G.S. National Seismic Hazards Mapping Project, 2008 version ***
PSHA Deaggregation. %contributions. site: Cairo,_IL long:
                                                                      89.181 W., lat: 37.005 N.
Vs30 (m/s) = 760.0 CEUS atten. model site cl BC(firm) or A(hard).
NSHMP 2007-08 See USGS OFR 2008-1128. dM=0.2 below
Return period: 975
                         yrs. Exceedance PGA =1.1619
                                                             g. Weight
                                                                         * Computed_Rate_Ex 0.101E-02
#Pr[at least one eq with median motion>=PGA in 50 yrs]=0.04009
#This deaggregation corresponds to Mean Hazard w/all GMPEs
DIST(KM) MAG(MW) ALL_EPS EPSILON>2 1<EPS<2 0<EPS<1 -1<EPS<0 -2<EPS<-1 EPS<-2
     6.9
             4.61
                       0.075
                                 0.046
                                            0.029
                                                       0.000
                                                                 0.000
                                                                            0.000
                                                                                       0.000
     7.6
              4.80
                       0.203
                                  0.114
                                            0.090
                                                       0.000
                                                                  0.000
                                                                            0.000
                                                                                       0.000
                       0.238
     8.5
             5.04
                                 0.117
                                            0.121
                                                       0.000
                                                                 0.000
                                                                            0.000
                                                                                       0.000
     9.2
             5.21
                       0.132
                                 0.064
                                            0.068
                                                       0.000
                                                                 0.000
                                                                            0.000
                                                                                       0.000
    10.0
              5.40
                       0.287
                                  0.135
                                            0.137
                                                       0.015
                                                                  0.000
                                                                            0.000
                                                                                       0.000
    11.1
              5.62
                       0.218
                                 0.083
                                            0.110
                                                       0.024
                                                                  0.000
                                                                            0.000
                                                                                       0.000
    11.8
              5.81
                       0.258
                                  0.089
                                            0.134
                                                       0.035
                                                                  0.000
                                                                            0.000
                                                                                       0.000
    11.7
              6.02
                       0.315
                                  0.079
                                            0.169
                                                       0.067
                                                                 0.000
                                                                            0.000
                                                                                       0.000
    11.4
              6.22
                       0.423
                                  0.087
                                            0.206
                                                       0.130
                                                                 0.000
                                                                            0.000
                                                                                       0.000
    12.4
              6.42
                       0.377
                                  0.065
                                            0.184
                                                       0.128
                                                                 0.000
                                                                            0.000
                                                                                       0.000
                       0.257
                                  0.036
                                                                 0.000
                                                                            0.000
                                                                                       0.000
    12.2
              6.59
                                            0.120
                                                       0.101
    12.1
              6.79
                       0.404
                                  0.038
                                            0.187
                                                       0.178
                                                                 0.001
                                                                            0.000
                                                                                       0.000
    31.0
              6.76
                       0.070
                                  0.049
                                            0.021
                                                       0.000
                                                                 0.000
                                                                            0.000
                                                                                       0.000
              7.00
                       0.370
                                  0.035
                                            0.168
                                                       0.164
                                                                  0.003
                                                                            0.000
                                                                                       0.000
                                  0.019
                                            0.100
                                                       0.101
                                                                  0.003
                                                                            0.000
                                                                                       0.000
  11.4
              7.42
                      31.476
                                  1.447
                                            8.511
                                                      17.760
                                                                 3.758
                                                                            0.000
                                                                                       0.000
                       0.271
    29.8
              7.39
                                  0.079
                                            0.192
                                                       0.000
                                                                 0.000
                                                                            0.000
                                                                                       0.000
              7.70
7.70
   11.5
                      48.171
                                 2.025
                                           12.040
                                                     27.292
                                                                  6.814
                                                                            0.000
                                                                                       0.000
                                            0.471
                       0.708
                                  0.115
                                                       0.121
                                                                            0.000
    29.4
                                                                 0.000
                                                                                       0.000
                      14.768
             8.00
                                  0.594
                                            3.535
                                                                 2.215
                                                                            0.000
                                                                                       0.000
   11.5
                                                       8.424
              8.00
                                  0.047
                                                                 0.000
                                                                            0.000
                                                                                       0.000
                                            0.237
                                                       0.309
Summary statistics for above PSHA PGA deaggregation, R=distance, e=epsilon:
Contribution from this GMPE(%):
                                       100.0

      Mean src-site R = 11.8 km; M = 7.59; eps0 = -0.24. Mean dodal src-site R = 11.5 km; M = 7.70; eps0 = -0.32 from MODE R* = 11.4km; M* = 7.70; EPS.INTERVAL: 0 to 1 sigma

                                                     -0.24. Mean calculated for all sources.
-0.32 from peak (R,M) bin
to 1 sigma % CONTRIB.= 27.292
Modal src-site R=
Principal sources (faults, subduction, random seismicity having > 3% contribution) Source Category: % contr. R(km) M epsilon0 (mean values).
New Madrid SZ no clustering
                                                             7.66
                                          95.65
CEUS gridded
                                           4.35
                                                    13.5
                                                             6.24
                                                                      0.76
Individual fault hazard details if its contribution to mean hazard > 2%:
                                                    Rcd(km) M
17.4 7.68
10.3 7.65
                                       % contr.
                                                                    epsilon0 Site-to-src azimuth(d)
Fault ID
New Madrid FZ, midwest
                                          7.47
74.16
                                                                     -0.02
                                                                                 -50.3
New Madrid FZ, central
                                                                     -0.35
                                                                                 -47.2
New Madrid FZ, mideast
                                           9.94
                                                     12.1
                                                             7.66
                                                                     -0.29
                                                                                 132.6
New Madrid FZ, east
                                           2.63
                                                             7.69
                                                                      0.33
                                                    22.5
                                                                                 131.2
#*******End of deaggregation corresponding to Mean Hazard w/all GMPEs
                                                                                      ******
```

Figure 2. Cairo Deaggregation Data.

By inspection, they all have source-to-site distances indicative of the NMSZ and can be represented by a single check of the Modal R and M combination. With no near-site scenarios contributing more than 5% to the hazard, only the single distant seismic source need be investigated.

• EQ Scenario #1, Dist. (R) = 11.5 km, $M_w = 7.70 \rightarrow PGA = 1.528$ (NMSZ Model)

Similar to Example #1, the PGA value for the earthquake scenario has been determined using the IDOT Liquefaction Analysis Excel spreadsheet and the indicated GMPE model.

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